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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Suryanarayana, Lalitha

)
) For: Voice Review of Privacy Policy
) In a Mobile Environment
)

Serial No.: 09/683,331

) Examiner: N/A

Filed: December 14, 2001

) Group Art Unit: N/A

RECEIVED

MAR 28 2002

OFFICE OF PETITIONS

PETITION FOR FILING DATE AND RESPONSE TO NOTICE TO FILE
MISSING PARTS

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application
dated January 2, 2002, Applicant files the following petition and response:

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to the Commissioner of Patents and
Trademarks, Washington, D.C. 20231, on:

2/22/2002

(Date of Deposit)

Kenneth W. Bolvin

(Printed Name)

Kenneth W. Bolvin

(Signature)

03/07/2002 WABRHAM1 00000061 09683331

02 FC:122 130.00 OP

Adjustment date: 06/26/2002 AKELLEY

03/07/2002 WABRHAM1 00000061 09683331

02 FC:122 -130.00 OP

Refund Ref: 06/26/2002 AKELLEY 0000118241

CHECK Refund Total: \$130.00



REMARKS

In the Notice to File Missing Parts of Nonprovisional Application on the above captioned application, it was stated that the oath or declaration was unsigned and that Figure(s) 1 was omitted from the original filing. A copy of this notice is included with this petition and response.

Applicant hereby submits two copies of formal drawings to replace the informal drawings submitted with the initial filing of the present application. These drawings are comprised of 10 figures on 9 pages.

Applicant also hereby submits a signed declaration. The declaration surcharge of \$130, as set forth in 37 CFR 1.16(l), is included with this petition and response.

Applicant also hereby submits evidence that Figure 1 was filed on 12/14/2001 with the rest of the application. The petition fee of \$130, as set forth in 37 CFR 1.17(h), is included with this petition.

The facts are as follows:

1.) The present application was filed electronically over the Internet on 12/14/2001 using the US Patent and Trademark Office's PASAT and EPAVE software. This software generated an ".xml" file for filing that included the specification and the 10 figures, including Figure 1.

2.) The US Patent and Trademark Office acknowledged this electronic submission with an Acknowledgement Receipt. This Acknowledgement Receipt is attached to this petition as further proof of the submission of Figure 1.

3.) The Acknowledgement Receipt clearly shows that a "fig1.tif" was submitted with the rest of the electronic submission.

4.) A floppy disk is enclosed with this petition that contains a copy of the ".xml" file, generated by the PASAT software, that was transmitted on 12/14/2001. The "Properties" of this file clearly show that the date it was generated was the filing date of the present application (12/14/2001). Examination of this file clearly shows that Figure 1 is contained in the file between the Abstract and Figure 2.

5.) The floppy disk additionally contains a copy of the ".epp" file generated upon successful uploading of the present application to the USPTO. Examination of the

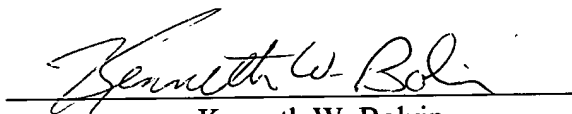
"Properties" of this file also show that it was generated on 12/14/2001 and that the file contains an acknowledgement that "fig1.tif" was successfully uploaded to the USPTO.

6.) Applicant has successfully shown that Figure 1 was included with the initial filing of the present application on 12/14/2001. Applicant respectfully requests that the present application be accorded the filing date of 12/14/2001.

7.) Applicant respectfully requests that the petition fee of \$130 under 37 CFR 1.17(h) be refunded.

Respectfully submitted,

Dated: 2/22/02



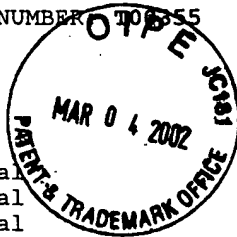
Kenneth W. Bolvin
Attorney for Applicants
Registration No. 34,125

Acknowledgment Receipt:

SUBMISSION TYPE: Utility Patent Filing
APPLICATION NUMBER: 09683331
FIRST NAMED INVENTOR: Lalitha Suryanarayana
TITLE OF INVENTION: VOICE REVIEW OF PRIVACY POLICY IN A MOBILE
ENVIRONMENT
ATTORNEY DOCKET NUMBER: T00355

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DIGITAL CERTIFICATE HOLDER NAME: cn=Kenneth Wayne Bolvin, ou=Registered Attorneys

UPLOAD STATUS: You have successfully uploaded your submission to USPTO